

RECEIVED  
CENTRAL FAX CENTER

JAN 10 2007

## FAX TRANSMISSION

## DATE:

PTO IDENTIFIER: Application Number 10/580,958-Conf. #9216  
Patent Number

Inventor: Kai M. Exner et al.

MESSAGE TO: Office of Initial Patent Examination

FAX NUMBER: (571) 273-8300

FROM: CONNOLLY BOVE LODGE &amp; HUTZ LLP

Ashley L. Pezzner

PHONE: (302) 658-9141

Attorney Dkt. #: 12810-00257-US

PAGES (Including Cover Sheet): 5

CONTENTS: Request for Corrected Filing Receipt (2 pages)  
Marked-up copy of Filing Receipt (3 pages)  
Certificate of Transmission (1 page)

If your receipt of this transmission is in error, please notify this firm immediately by collect call to sender at (302) 658-9141 and send the original transmission to us by return mail at the address below.

This transmission is intended for the sole use of the individual and entity to whom it is addressed, and may contain information that is privileged, confidential and exempt from disclosure under applicable law. You are hereby notified that any dissemination, distribution or duplication of this transmission by someone other than the intended addressee or its designated agent is strictly prohibited.

CONNOLLY BOVE LODGE & HUTZ LLP  
1007 North Orange Street, P.O. Box 2207, Wilmington, Delaware 19899  
Telephone: (302) 658-9141 Facsimile: (302) 658-5614

514619\_1

Application No.: 10/580,958

Docket No.: 12810-00257-US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

---

In re Patent Application of:  
Kai M. Exner et al.RECEIVED  
CENTRAL FAX CENTER  
JAN 10 2007

Application No.: 10/580,958

Group Art Unit: N/A

Filed: May 31, 2006

Examiner: Not Yet Assigned

---

For: METHOD FOR PRODUCING VITAMIN A  
ACETATE

---

**REQUEST FOR CORRECTED FILING RECEIPT**

Filing Receipt Corrections  
Office of Initial Patent Examination  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant hereby requests that a corrected Filing Receipt be issued in the above-identified patent application. The official Filing Receipt received by Applicant has the following errors:

Under the section "Applicant(s)", the first-named inventor's name should read -- Kai Michael Exner --; second-named inventor's residence address should read -- Bad Dürkheim, GERMANY --; and third-named inventor's name should read -- Harald Laas --.

A marked-up copy of the Filing Receipt with the corrections to be made indicated thereon is attached hereto.

As the error was made by the Patent and Trademark Office, it is believed that no fee is due. Please credit our Deposit Account No. 03-2775 as necessary to effect entry of the attached corrections.

514618\_1

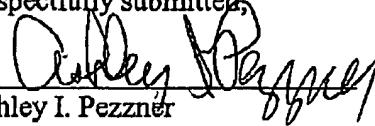
Application No.: 10/580,958

Docket No.: 12810-00257-US

Applicant additionally requests that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

Applicant believes no fee is due with this request. However, if a fee is due, please charge our Deposit Account No. 03-2775, under Order No. 12810-00257-US from which the undersigned is authorized to draw.

Respectfully submitted,

By   
Ashley I. Pezzner

Registration No.: 35,646  
CONNOLLY BOVE LODGE & HUTZ LLP  
1007 North Orange Street  
P.O. Box 2207  
Wilmington, Delaware 19899  
(302) 658-9141  
(302) 658-5614 (Fax)  
Attorney for Applicant

514618\_1

JAN. 10. 2007 4:08PM 3022524210

RECEIVED NO. 5917 Pa<sup>P</sup> 4 of 3  
CENTRAL FAX CENTER

JAN 10 2007



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE RECD	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/580,958	05/31/2006	1614	900	12810-00257-US		15	1

CONFIRMATION NO. 9216

23416  
CONNOLLY BOVE LODGE & HUTZ, LLP  
P O BOX 2207  
WILMINGTON, DE 19899

FILING RECEIPT



"OC000000021844586"

Date Mailed: 01/05/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Michael

Kai M. Exner, Eppelheim, GERMANY;  
Klemens Massonne, Durkheim, GERMANY;  
Harald Jaas, Maxdorf, GERMANY;  
Detlev Glas, Frankenthal, GERMANY;  
Laszlo Szarvas, Ludwigshafen, GERMANY;

Bad

Harald

Assignment For Published Patent Application

BASF AKTIENGESELLSCHAFT, LUDWIGSHAFEN, GERMANY

Power of Attorney: The patent practitioners associated with Customer Number 23416.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP04/14208 12/14/2004

Foreign Applications

GERMANY 10359433.7 12/17/2003

If Required, Foreign Filing License Granted: 01/04/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/580,958**

Projected Publication Date: 04/12/2007

Non-Publication Request: No

RECEIVED  
CENTRAL FAX CENTER

Early Publication Request: No

JAN 10 2007

**Title**

Method for producing vitamin a acetate

**Preliminary Class**

514

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an International application under the Patent Cooperation Treaty (PCT). An International (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/Index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15****GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where

the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

JAN. 10. 2007 4:09PM 3022524210

RECEIVED  
CENTRAL FAX CENTER NO. 5917 P. 7

JAN 10 2007

PTO/SB/97 (08-04)

Approved for use through 07/31/2006. OMB 0651-0091  
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

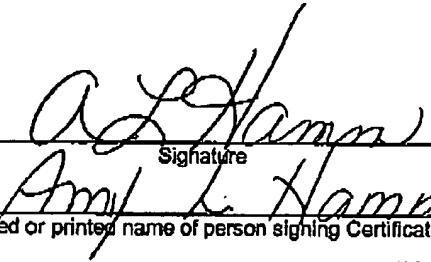
Application No. (if known): 10/580,958

Attorney Docket No.: 12810-00257-US

## Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office.

on 1/10/07  
Date

  
Signature

Amy L. Hamm  
Typed or printed name of person signing Certificate

Registration Number, if applicable

(302) 658-9141

Telephone Number

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

Request for Corrected Filing Receipt (2 pages)  
Marked-up copy of Filing Receipt (3 pages)